

Rec'd PCT/PTO 26 FEB 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ALBERT MODL ET AL.

SERIAL NO.: 09/926,634

FILED: 25 May 2000

FOR: DEVICES AND METHODS FOR BIOMETRIC  
AUTHENTICATION

GROUP ART UNIT: unassigned

EXAMINER: unassigned

ATTY. REFERENCE: MODL3001/JEK

THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- |                                                                      |                                                                                       |
|----------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Declaration                      | <input type="checkbox"/> Issue Fee Transmittal                                        |
| <input type="checkbox"/> Priority Document                           | <input checked="" type="checkbox"/> Check in the Amount of <u>\$170.00</u>            |
| <input type="checkbox"/> Formal Drawings                             | <input checked="" type="checkbox"/> Assignment, including cover sheet and fee of \$40 |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts (\$130 fee)  |

w/cited refs

☒ Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

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Customer 23364

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DATE: 26 February 2002

Respectfully submitted,

J. Ernest Kenney  
Attorney for Applicant  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,634	Albert Modl	MODL3001/JEK

23364  
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MODL3001

Date 1-02-02 Atty JEKAction Due NTFM RgsDeadline 2-26-02Final Deadline 5-26-02

INTERNATIONAL APPLICATION NO.
PCT/EP00/04780

I.A. FILING DATE	PRIORITY DATE
05/25/2000	05/28/1999

CONFIRMATION NO. 5404

371 FORMALITIES LETTER



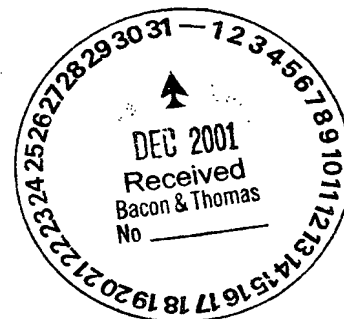
\*OC000000007228829\*

Date Mailed: 12/26/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,634	PCT/EP00/04780	MODL3001/JEK